

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (IPO, FPO & OFS)

ANNEXURE - 'B'

Data for every month ending – 31st October 2024

| Sr No | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|-------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|------------------------------------|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| Sr No | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month* | Pending at the end of the particular month# |
|-------|--------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|
| 1 | June 2024 | 0 | 0 | 0 | 0 |
| 2 | July 2024 | 0 | 2** | 0 | 2** |
| 3 | August 2024 | 2 | 0 | 2 | 0 |
| 4 | September 2024 | 0 | 0 | 0 | 0 |
| 5 | October 2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 2 | 2 | 2 | 2 |

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

**One complaint was pertinent to IPO for which SMC Capitals was not associated as Merchant Banker.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

| Sr. No | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|--------|--------------------|--|-------------------------------------|-------------------------------------|---|
| 1 | 2021 | 0 | 0 | 0 | 0 |
| 2 | 2022 | 0 | 1 | 1 | 0 |
| 3 | 2023 | 0 | 0 | 0 | 0 |
| 4 | 2024* | 0 | 4 | 4 | 0 |
| 5 | 2025 | Will be updated as and when applicable | | | |
| | Grand Total | 0 | 5 | 5 | 0 |

* data shall be updated after the completion of respective calendar year.

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (RIGHT ISSUE)

ANNEXURE -'B'

Data for every month ending – 31st October 2024

| SrNo | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|------------------------------------|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SrNo | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month* | Pending at the end of the particular month# |
|------|--------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|
| 1 | June 2024 | 0 | 0 | 0 | 0 |
| 2 | July 2024 | 0 | 0 | 0 | 0 |
| 3 | August 2024 | 0 | 0 | 0 | 0 |
| 4 | September 2024 | 0 | 0 | 0 | 0 |
| 5 | October 2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

** Inclusive of complaints of previous months resolved in the current month.*

** #Inclusive of complaints pending as on the last day of the month*

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) –

| Sr. No | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|--------|--------------------|--|-------------------------------------|-------------------------------------|---|
| 1 | 2021 | 0 | 0 | 0 | 0 |
| 2 | 2022 | 0 | 0 | 0 | 0 |
| 3 | 2023 | 0 | 0 | 0 | 0 |
| 4 | 2024* | 0 | 0 | 0 | 0 |
| 5 | 2025 | Will be updated as and when applicable | | | |
| | Grand Total | 0 | 0 | 0 | 0 |

**data shall be updated after the completion of respective calendar year.*

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (QUALIFIED INSTITUTIONS PLACEMENT (QIP))

ANNEXURE -'B'

Data for every month ending – 31st October 2024

| SrNo | Received from | Pending asat the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|------|-------------------------------|------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|------------------------------------|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| Sr No | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|-------|--------------------|-------------------------------------|--------------------------------------|--|--|
| 1 | June 2024 | 0 | 0 | 0 | 0 |
| 2 | July 2024 | 0 | 0 | 0 | 0 |
| 3 | August 2024 | 0 | 0 | 0 | 0 |
| 4 | September 2024 | 0 | 0 | 0 | 0 |
| 5 | October 2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) –

| Sr. No | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|--------|--------------------|--|-------------------------------------|-------------------------------------|---|
| 1 | 2021 | 0 | 0 | 0 | 0 |
| 2 | 2022 | 0 | 0 | 0 | 0 |
| 3 | 2023 | 0 | 0 | 0 | 0 |
| 4 | 2024* | 0 | 0 | 0 | 0 |
| 5 | 2025 | Will be updated as and when applicable | | | |
| | Grand Total | 0 | 0 | 0 | 0 |

*data shall be updated after the completion of respective calendar year.

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (PREFERENTIAL ISSUE)

ANNEXURE -'B'

Data for every month ending 31st October 2024

| Sr No | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|-------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|------------------------------------|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SrNo | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|------|--------------------|-------------------------------------|--------------------------------------|--|--|
| 1 | June 2024 | 0 | 0 | 0 | 0 |
| 2 | July 2024 | 0 | 0 | 0 | 0 |
| 3 | August 2024 | 0 | 0 | 0 | 0 |
| 4 | September 2024 | 0 | 0 | 0 | 0 |
| 5 | October 2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

** Inclusive of complaints of previous months resolved in the current month.*

#Inclusive of complaints pending as on the last day of the month

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) –

| Sr. No | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|--------|--------------------|--|-------------------------------------|-------------------------------------|---|
| 1 | 2021 | 0 | 0 | 0 | 0 |
| 2 | 2022 | 0 | 0 | 0 | 0 |
| 3 | 2023 | 0 | 0 | 0 | 0 |
| 4 | 2024* | 0 | 0 | 0 | 0 |
| 5 | 2025 | Will be updated as and when applicable | | | |
| | Grand Total | 0 | 0 | 0 | 0 |

**data shall be updated after the completion of respective calendar year.*

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (SME IPO and FPO including OFS)

ANNEXURE -'B'

Data for every month ending 31st October 2024

| Sr No | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|-------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|------------------------------------|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SrNo | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|------|--------------------|-------------------------------------|--------------------------------------|--|--|
| 1 | June 2024 | 0 | 0 | 0 | 0 |
| 2 | July 2024 | 0 | 0 | 0 | 0 |
| 3 | August 2024 | 0 | 0 | 0 | 0 |
| 4 | September 2024 | 0 | 0 | 0 | 0 |
| 5 | October 2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

** Inclusive of complaints of previous months resolved in the current month.*

#Inclusive of complaints pending as on the last day of the month

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) –

| Sr. No | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|--------|--------------------|--|-------------------------------------|-------------------------------------|---|
| 1 | 2021 | 0 | 0 | 0 | 0 |
| 2 | 2022 | 0 | 0 | 0 | 0 |
| 3 | 2023 | 0 | 0 | 0 | 0 |
| 4 | 2024* | 0 | 0 | 0 | 0 |
| 5 | 2025 | Will be updated as and when applicable | | | |
| | Grand Total | 0 | 0 | 0 | 0 |

**data shall be updated after the completion of respective calendar year.*

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (BUYBACK OF SECURITIES)

ANNEXURE -'B'

Data for every month ending 31st October 2024

| Sr No | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|----------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|------------------------------------|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| Sr No | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|-------|--------------------|-------------------------------------|--------------------------------------|--|--|
| 1 | June 2024 | 0 | 0 | 0 | 0 |
| 2 | July 2024 | 0 | 0 | 0 | 0 |
| 3 | August 2024 | 0 | 0 | 0 | 0 |
| 4 | September 2024 | 0 | 0 | 0 | 0 |
| 5 | October 2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

* Inclusive of complaints of previous months resolved in the current month.

* #Inclusive of complaints pending as on the last day of the month

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) –

| Sr. No | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|--------|--------------------|--|-------------------------------------|-------------------------------------|---|
| 1 | 2021 | 0 | 0 | 0 | 0 |
| 2 | 2022 | 0 | 0 | 0 | 0 |
| 3 | 2023 | 0 | 1 | 1 | 0 |
| 4 | 2024* | 0 | 0 | 0 | 0 |
| 5 | 2025 | Will be updated as and when applicable | | | |
| | Grand Total | 0 | 1 | 1 | 0 |

*data shall be updated after the completion of respective calendar year.

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (DELISTING OF EQUITY SHARES)

ANNEXURE -'B'

Data for every month ending – 31st October 2024

| SrNo | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|----------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|------------------------------------|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SrNo | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month* | Pending at the end of the particular month# |
|------|--------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|
| 1 | June 2024 | 0 | 0 | 0 | 0 |
| 2 | July 2024 | 0 | 0 | 0 | 0 |
| 3 | August 2024 | 0 | 0 | 0 | 0 |
| 4 | September 2024 | 0 | 0 | 0 | 0 |
| 5 | October 2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) –

| Sr. No | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|--------|--------------------|--|-------------------------------------|-------------------------------------|---|
| 1 | 2021 | 0 | 0 | 0 | 0 |
| 2 | 2022 | 0 | 0 | 0 | 0 |
| 3 | 2023 | 0 | 0 | 0 | 0 |
| 4 | 2024* | 0 | 0 | 0 | 0 |
| 5 | 2025 | Will be updated as and when applicable | | | |
| | Grand Total | 0 | 0 | 0 | 0 |

*data shall be updated after the completion of respective calendar year.

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVER)

ANNEXURE -'B'

Data for every month ending 31st October 2024

| SrNo | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|----------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|------------------------------------|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SrNo | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|------|--------------------|-------------------------------------|--------------------------------------|--|--|
| 1 | June 2024 | 0 | 0 | 0 | 0 |
| 2 | July 2024 | 0 | 0 | 0 | 0 |
| 3 | August 2024 | 0 | 0 | 0 | 0 |
| 4 | September 2024 | 0 | 0 | 0 | 0 |
| 5 | October 2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

* Inclusive of complaints of previous months resolved in the current month.

* #Inclusive of complaints pending as on the last day of the month

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) –

| Sr. No | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|--------|--------------------|--|-------------------------------------|-------------------------------------|---|
| 1 | 2021 | 0 | 0 | 0 | 0 |
| 2 | 2022 | 0 | 0 | 0 | 0 |
| 3 | 2023 | 0 | 0 | 0 | 0 |
| 4 | 2024* | 0 | 0 | 0 | 0 |
| 5 | 2025 | Will be updated as and when applicable | | | |
| | Grand Total | 0 | 0 | 0 | 0 |

*data shall be updated after the completion of respective calendar year.

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (PUBLIC ISSUE OF DEBT SECURITIES)

ANNEXURE - 'B'

Data for every month ending – 31st October 2024

| Sr No | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|-------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|------------------------------------|
| 1 | Directly from Investors | Nil | Nil | Nil | Nil | Nil | Nil |
| 2 | SEBI (SCORES) | | | | | | |
| 3 | Stock Exchanges (if relevant) | | | | | | |
| 4 | Other Sources (if any) | | | | | | |
| 5 | Grand Total | | | | | | |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year –

| Sr No | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month* | Pending at the end of the particular month# |
|-------|--------------------|--|--------------------------------------|---------------------------------------|---|
| 1 | April 2024 | | | Nil | |
| 2 | May 2024 | | | Nil | |
| 3 | June 2024 | | | Nil | |
| 4 | July 2024 | | | Nil | |
| 5 | August 2024 | | | Nil | |
| 6 | September 2024 | | | Nil | |
| 7 | October 2024 | | | Nil | |
| 8 | November 2024 | Will be updated as and when applicable | | | |
| 9 | December 2024 | | | | |
| 10 | January 2025 | | | | |
| 11 | February 2025 | | | | |
| 12 | March 2025 | | | | |
| | Grand Total | | | Nil | |

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

Trend of annual (financial year) disposal of complaints (For 3 years on rolling basis) –

| Sr. No | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|--------|--------------------|------------------------------------|-------------------------------------|-------------------------------------|---|
| 1 | 2021-2022 | Nil | Nil | Nil | Nil |
| 2 | 2022-2023 | Nil | 2 | 2 | Nil |
| 3 | 2023-2024 | Nil | Nil | Nil | Nil |
| | Grand Total | Nil | 2 | 2 | Nil |

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER –
(PUBLIC ISSUE OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARES)
ANNEXURE - ‘B’

Data for every month ending – 31st October 2024

| Sr No | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|-------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|------------------------------------|
| 1 | Directly from Investors | Nil | Nil | Nil | Nil | Nil | Nil |
| 2 | SEBI (SCORES) | | | | | | |
| 3 | Stock Exchanges (if relevant) | | | | | | |
| 4 | Other Sources (if any) | | | | | | |
| 5 | Grand Total | | | | | | |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year –

| Sr No | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month* | Pending at the end of the particular month# |
|-------|--------------------|--|--------------------------------------|---------------------------------------|---|
| 1 | April 2024 | | | Nil | |
| 2 | May 2024 | | | Nil | |
| 3 | June 2024 | | | Nil | |
| 4 | July 2024 | | | Nil | |
| 5 | August 2024 | | | Nil | |
| 6 | September 2024 | | | Nil | |
| 7 | October 2024 | | | Nil | |
| 8 | November 2024 | Will be updated as and when applicable | | | |
| 9 | December 2024 | | | | |
| 10 | January 2025 | | | | |
| 11 | February 2025 | | | | |
| 12 | March 2025 | | | | |
| | Grand Total | | | Nil | |

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

Trend of annual (financial year) disposal of complaints (For 3 years on rolling basis) –

| Sr. No | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|--------|--------------------|------------------------------------|-------------------------------------|-------------------------------------|---|
| 1 | 2021-2022 | | | Nil | |
| 2 | 2022-2023 | | | Nil | |
| 3 | 2023-2024 | | | Nil | |
| | Grand Total | | | Nil | |

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER
(PRIVATE PLACEMENT OF NON - CONVERTIBLE SECURITIES)
ANNEXURE - 'B'

Data for every month ending – 31st October 2024

| Sr No | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|-------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|------------------------------------|
| 1 | Directly from Investors | Nil | Nil | Nil | Nil | Nil | Nil |
| 2 | SEBI (SCORES) | | | | | | |
| 3 | Stock Exchanges (if relevant) | | | | | | |
| 4 | Other Sources (if any) | | | | | | |
| 5 | Grand Total | | | | | | |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year –

| Sr No | Month | Carried forward from previous Month | Received during the particular month | Resolved during the particular month* | Pending at the end of the particular month# |
|-------|--------------------|--|--------------------------------------|---------------------------------------|---|
| 1 | April 2024 | | | Nil | |
| 2 | May 2024 | | | Nil | |
| 3 | June 2024 | | | Nil | |
| 4 | July 2024 | | | Nil | |
| 5 | August 2024 | | | Nil | |
| 6 | September 2024 | | | Nil | |
| 7 | October 2024 | | | Nil | |
| 8 | November 2024 | Will be updated as and when applicable | | | |
| 9 | December 2024 | | | | |
| 10 | January 2025 | | | | |
| 11 | February 2025 | | | | |
| 12 | March 2025 | | | | |
| | Grand Total | | | Nil | |

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

Trend of annual (Financial year) disposal of complaints (For 3 years on rolling basis) –

| Sr. No | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|--------|--------------------|------------------------------------|-------------------------------------|-------------------------------------|---|
| 1 | 2021-22 | | | Nil | |
| 2 | 2022-23 | | | Nil | |
| 3 | 2023-24 | | | Nil | |
| | Grand Total | | | Nil | |

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (MUNICIPAL DEBT SECURITIES)

ANNEXURE - 'B'

Data for every month ending – **31st October 2024**

| Sr No | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|-------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|------------------------------------|
| 1 | Directly from Investors | Nil | Nil | Nil | Nil | Nil | Nil |
| 2 | SEBI (SCORES) | | | | | | |
| 3 | Stock Exchanges (if relevant) | | | | | | |
| 4 | Other Sources (if any) | | | | | | |
| 5 | Grand Total | | | | | | |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year –

| Sr No | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month* | Pending at the end of the particular month# |
|-------|--------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|
| 1 | April 2024 | | | Nil | |
| 2 | May 2024 | | | Nil | |
| 3 | June 2024 | | | Nil | |
| 4 | July 2024 | | | Nil | |
| 5 | August 2024 | | | Nil | |
| 6 | September 2024 | | | Nil | |
| 7 | October 2024 | | | Nil | |
| | Grand Total | | | Nil | |

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

Last 3 years trend (financial year) –

| Sr. No | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|--------|--------------------|------------------------------------|-------------------------------------|-------------------------------------|---|
| 1 | 2021-22 | | | Nil | |
| 2 | 2022-23 | | | Nil | |
| 3 | 2023-24 | | | Nil | |
| | Grand Total | | | Nil | |

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (PUBLIC ISSUE OF REIT)

ANNEXURE - 'B'

Data for every month ending – 31st October 2024

| Sr No | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|-------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|------------------------------------|
| 1 | Directly from Investors | Nil | Nil | Nil | Nil | Nil | Nil |
| 2 | SEBI (SCORES) | | | | | | |
| 3 | Stock Exchanges (if relevant) | | | | | | |
| 4 | Other Sources (if any) | | | | | | |
| 5 | Grand Total | | | | | | |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year –

| Sr No | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month* | Pending at the end of the particular month# |
|-------|--------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|
| 1 | April 2024 | | | Nil | |
| 2 | May 2024 | | | Nil | |
| 3 | June 2024 | | | Nil | |
| 4 | July 2024 | | | Nil | |
| 5 | August 2024 | | | Nil | |
| 6 | September 2024 | | | Nil | |
| 7 | October 2024 | | | Nil | |
| 8 | November 2024 | | | | Will be updated as and when applicable |
| 9 | December 2024 | | | | |
| 10 | January 2025 | | | | |
| 11 | February 2025 | | | | |
| 12 | March 2025 | | | | |
| | Grand Total | | | - | |

** Inclusive of complaints of previous months resolved in the current month.*

#Inclusive of complaints pending as on the last day of the month

Last 3 years trend–

| Sr. No | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|--------|--------------------|------------------------------------|-------------------------------------|-------------------------------------|---|
| 1 | 2021-2022 | | | Nil | |
| 2 | 2022-2023 | | | Nil | |
| 3 | 2023-2024 | | | Nil | |
| | Grand Total | | | Nil | |

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (PUBLIC ISSUE OF INVT)

ANNEXURE - 'B'

Data for every month ending – 31st October 2024

| Sr No | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|-------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|------------------------------------|
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL |
| 2 | SEBI (SCORES) | | | | | | |
| 3 | Stock Exchanges (if relevant) | | | | | | |
| 4 | Other Sources (if any) | | | | | | |
| 5 | Grand Total | | | | | | |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year –

| Sr No | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month* | Pending at the end of the particular month# |
|-------|--------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|
| 1 | April 2024 | | | Nil | |
| 2 | May 2024 | | | Nil | |
| 3 | June 2024 | | | Nil | |
| 4 | July 2024 | | | Nil | |
| 5 | August 2024 | | | Nil | |
| 6 | September 2024 | | | Nil | |
| 7 | October 2024 | | | Nil | |
| 8 | November 2024 | | | | |
| 9 | December 2024 | | | | |
| 10 | January 2025 | | | | |
| 11 | February 2025 | | | | |
| 12 | March 2025 | | | | |
| | Grand Total | | | Nil | |

** Inclusive of complaints of previous months resolved in the current month.*

#Inclusive of complaints pending as on the last day of the month

Last 3 years trend –

| Sr. No | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|--------|--------------------|------------------------------------|-------------------------------------|-------------------------------------|---|
| 1 | 2021-2022 | | | Nil | |
| 2 | 2022-2023 | | | Nil | |
| 3 | 2023-2024 | | | Nil | |
| | Grand Total | | | Nil | |

INVESTOR COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (PRIVATE PLACEMENT OF REIT)

ANNEXURE - 'B'

Data for every month ending – 31st October 2024

| Sr No | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|-------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|------------------------------------|
| 1 | Directly from Investors | Nil | | | | | |
| 2 | SEBI (SCORES) | | | | | | |
| 3 | Stock Exchanges (if relevant) | | | | | | |
| 4 | Other Sources (if any) | | | | | | |
| 5 | Grand Total | | | | | | |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year –

| Sr No | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month* | Pending at the end of the particular month# |
|-------|--------------------|--|--------------------------------------|---------------------------------------|---|
| 1 | April 2024 | Nil | | | |
| 2 | May 2024 | Nil | | | |
| 3 | June 2024 | Nil | | | |
| 4 | July 2024 | Nil | | | |
| 5 | August 2024 | Nil | | | |
| 6 | September 2024 | Nil | | | |
| 7 | October 2024 | Nil | | | |
| 8 | November 2024 | Will be updated as and when applicable | | | |
| 9 | December 2024 | | | | |
| 10 | January 2025 | | | | |
| 11 | February 2025 | | | | |
| 12 | March 2025 | | | | |
| | Grand Total | Nil | | | |

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

Last 3 years trend –

| Sr. No | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|--------|--------------------|------------------------------------|-------------------------------------|-------------------------------------|---|
| 1 | 2021 - 2022 | Nil | | | |
| 2 | 2022 - 2023 | Nil | | | |
| 3 | 2023 - 2024 | Nil | | | |
| | Grand Total | Nil | | | |

INVESTOR COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (PRIVATE PLACEMENT OF INVIT)

ANNEXURE - 'B'

Data for every month ending – 31st October 2024

| Sr No | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|-------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|------------------------------------|
| 1 | Directly from Investors | Nil | | | | | |
| 2 | SEBI (SCORES) | | | | | | |
| 3 | Stock Exchanges (if relevant) | | | | | | |
| 4 | Other Sources (if any) | | | | | | |
| 5 | Grand Total | | | | | | |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year –

| Sr No | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month* | Pending at the end of the particular month# |
|-------|--------------------|--|--------------------------------------|---------------------------------------|---|
| 1 | April 2024 | Nil | | | |
| 2 | May 2024 | Nil | | | |
| 3 | June 2024 | Nil | | | |
| 4 | July 2024 | Nil | | | |
| 5 | August 2024 | Nil | | | |
| 6 | September 2024 | Nil | | | |
| 7 | October 2024 | Nil | | | |
| 8 | November 2024 | Will be updated as and when applicable | | | |
| 9 | December 2024 | | | | |
| 10 | January 2025 | | | | |
| 11 | February 2025 | | | | |
| 12 | March 2025 | | | | |
| | Grand Total | Nil | | | |

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

Last 3 years trend –

| Sr. No | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|--------|--------------------|------------------------------------|-------------------------------------|-------------------------------------|---|
| 1 | 2021 - 2022 | Nil | | | |
| 2 | 2022 - 2023 | Nil | | | |
| 3 | 2023 - 2024 | Nil | | | |
| | Grand Total | Nil | | | |

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (CONSOLIDATED FILE)

ANNEXURE - 'B'

Data for every month ending – 31st October 2024

| Sr No | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|-------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|------------------------------------|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year –

| Sr. No | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month* | Pending at the end of the particular month# |
|--------|----------------|-------------------------------------|--------------------------------------|---------------------------------------|---|
| 1 | June-2024 | Nil | | | |
| 2 | July-2024 | Nil | 2** | Nil | 2** |
| 3 | August- 2024 | 2 | Nil | 2 | Nil |
| 4 | September 2024 | Nil | Nil | Nil | Nil |
| 5 | October 2024 | Nil | Nil | Nil | Nil |

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

**One complaint was pertinent to IPO for which SMC Capitals was not associated as Merchant Banker.

Last 3 years trend –

| Sr. No | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|--------|--------------------|------------------------------------|-------------------------------------|-------------------------------------|---|
| 1 | 2022 | 0 | 1 | 1 | 0 |
| 2 | 2023 | 0 | 3 | 3 | 0 |
| 3 | 2024 | 0 | 4 | 4 | 0 |
| | Grand Total | 0 | 8 | 8 | 0 |