

INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (IPO, FPO & OFS) ANNEXURE - 'B'

Data for every month ending - 31st October 2024

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly	0	0	0	0	0	0
	from	0	U		0	U	U
	Investors						
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

Sr No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	June 2024	0	0	0	0
2	July 2024	0	2**	0	2**
3	August 2024	2	0	2	0
4	September 2024	0	0	0	0
5	October 2024	0	0	0	0
	Grand Total	2	2	2	2

^{*} Inclusive of complaints of previous months resolved in the current month.

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year		
1	2021	0	0	0	0		
2	2022	0	1	1	0		
3	2023	0	0	0	0		
4	2024*	0	4	4	0		
5	2025	Will be updated as and when applicable					
	Grand Total	0	5	5	0		

^{*} data shall be updated after the completion of respective calendar year.

[#]Inclusive of complaints pending as on the last day of the month

^{**}One complaint was pertinent to IPO for which SMC Capitals was not associated as Merchant Banker.



INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (RIGHT ISSUE)

ANNEXURE -'B'

Data for every month ending - 31st October 2024

SrNo	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SrNo	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the endof the particular month#
1	June 2024	0	0	0	0
2	July 2024	0	0	0	0
3	August 2024	0	0	0	0
4	September 2024	0	0	0	0
5	October 2024	0	0	0	0
	Grand Total	0	0	0	0

^{*} Inclusive of complaints of previous months resolved in the current month.

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year	
1	2021	0	0	0	0	
2	2022	0	0	0	0	
3	2023	0	0	0	0	
4	2024*	0	0	0	0	
5	2025	Will be updated as and when applicable				
	Grand Total	0	0	0	0	

^{*}data shall be updated after the completion of respective calendar year.

^{* #}Inclusive of complaints pending as on the last day of the month



INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (QUALIFIED INSTITUIONS PLACEMENT (QIP)

ANNEXURE -'B'

Data for every month ending - 31st October 2024

SrNo	Received from	Pending asat the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

Sr No	Month	Carried forward from previous month	Received duringthe particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	June 2024	0	0	0	0
2	July 2024	0	0	0	0
3	August 2024	0	0	0	0
4	September 2024	0	0	0	0
5	October 2024	0	0	0	0
	Grand Total	0	0	0	0

^{*} Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year	
1	2021	0	0	0	0	
2	2022	0	0	0	0	
3	2023	0	0	0	0	
4	2024*	0	0	0	0	
5	2025	Will be updated as and when applicable				
	Grand Total	0	0	0	0	

^{*}data shall be updated after the completion of respective calendar year.



INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (PREFERENTIAL ISSUE)

ANNEXURE -'B'

Data for every month ending 31st October 2024

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SrNo	Month	Carried forward from previous month	Received duringthe particular month	Resolved during the particular month *	Pending at the endof the particular month #
1	June 2024	0	0	0	0
2	July 2024	0	0	0	0
3	August 2024	0	0	0	0
4	September 2024	0	0	0	0
5	October 2024	0	0	0	0
	Grand Total	0	0	0	0

^{*} Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year		
1	2021	0	0	0	0		
2	2022	0	0	0	0		
3	2023	0	0	0	0		
4	2024*	0	0	0	0		
5	2025	Will be updated as and when applicable					
	Grand Total	0	0	0	0		

^{*}data shall be updated after the completion of respective calendar year.



INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (SME IPO and FPO including OFS)

ANNEXURE -'B'

Data for every month ending 31st October 2024

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SrNo	Month	Carried forward from previous month	Received duringthe particular month	Resolved during the particular month *	Pending at the endof the particular month #
1	June 2024	0	0	0	0
2	July 2024	0	0	0	0
3	August 2024	0	0	0	0
4	September 2024	0	0	0	0
5	October 2024	0	0	0	0
	Grand Total	0	0	0	0

^{*} Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024*	0	0	0	0
5	2025		Will be updated as ar	nd when applicable	
	Grand Total	0	0	0	0

^{*}data shall be updated after the completion of respective calendar year.



INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (BUYBACK OF SECURITIES)

ANNEXURE -'B'

Data for every month ending 31st October 2024

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

Sr No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	June 2024	0	0	0	0
2	July 2024	0	0	0	0
3	August 2024	0	0	0	0
4	September 2024	0	0	0	0
5	October 2024	0	0	0	0
	Grand Total	0	0	0	0

^{*} Inclusive of complaints of previous months resolved in the current month.

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	1	1	0
4	2024*	0	0	0	0
5	2025		Will be updated as an	d when applicable	
	Grand Total	0	1	1	0

^{*}data shall be updated after the completion of respective calendar year.

^{* #}Inclusive of complaints pending as on the last day of the month



INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (DELISTING OF EQUITY SHARES)

ANNEXURE -'B'

Data for every month ending - 31st October 2024

SrNo	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SrNo	Month	Carried forward from previous month	Received duringthe particular month	Resolved during the particular month*	Pending at the endof the particular month#
1	June 2024	0	0	0	0
2	July 2024	0	0	0	0
3	August 2024	0	0	0	0
4	September 2024	0	0	0	0
5	October 2024	0	0	0	0
	Grand Total	0	0	0	0

^{*} Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024*	0	0	0	0
5	2025	Will be updated as and when applicable			
	Grand Total	0	0	0	0

^{*}data shall be updated after the completion of respective calendar year.



INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVER)

ANNEXURE -'B'

Data for every month ending 31st October 2024

SrNo	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SrNo	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	June 2024	0	0	0	0
2	July 2024	0	0	0	0
3	August 2024	0	0	0	0
4	September 2024	0	0	0	0
5	October 2024	0	0	0	0
	Grand Total	0	0	0	0

^{*} Inclusive of complaints of previous months resolved in the current month.

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year	
1	2021	0	0	0	0	
2	2022	0	0	0	0	
3	2023	0	0	0	0	
4	2024*	0	0	0	0	
5	2025	Will be updated as and when applicable				
	Grand Total	0	0	0	0	

^{*}data shall be updated after the completion of respective calendar year.

^{* #}Inclusive of complaints pending as on the last day of the month



INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (PUBLIC ISSUE OF DEBT SECURITIES) ANNEXURE - 'B'

Data for every month ending - 31st October 2024

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from						
	Investors						
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges (if	INII	INII	INII	INII	INII	INII
	relevant)						
4	Other Sources (if any)						
5	Grand Total						

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year -

Sr No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#		
1	April 2024		1	Vil			
2	May 2024		1	Vil			
3	June 2024		1	Vil			
4	July 2024		1	Vil			
5	August 2024		Nil				
6	September 2024		1	Vil			
7	October 2024		1	Vil			
8	November 2024						
9	December 2024]					
10	January 2025]	Will be update	d as and when applical	ole		
11	February 2025						
12	March 2025						
	Grand Total]	Vil			

^{*} Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021-2022	Nil	Nil	Nil	Nil
2	2022-2023	Nil	2	2	Nil
3	2023-2024	Nil	Nil	Nil	Nil
	Grand Total	Nil	2	2	Nil



INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER – (PUBLIC ISSUE OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARES) ANNEXURE - 'B'

Data for every month ending - 31st October 2024

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from						
	Investors						
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges (if	INII	INII	1011	INII	INII	INII
	relevant)						
4	Other Sources (if any)						
5	Grand Total		'				

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year -

Sr No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#		
1	April 2024]	Nil			
2	May 2024]	Nil			
3	June 2024]	Nil			
4	July 2024		Nil				
5	August 2024		Nil				
6	September 2024]	Nil			
7	October 2024]	Nil			
8	November 2024						
9	December 2024		W:11 h a sun data d a a				
10	January 2025		will be updated as	and when applicable			
11	February 2025						
12	March 2025						
	Grand Total			Nil			

^{*} Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021-2022		Ni	1	
2	2022-2023		Ni	1	
3	2023-2024		Ni	1	
	Grand Total		Ni	l	



INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (PRIVATE PLACEMENT OF NON - CONVERTIBLE SECURITIES) ANNEXURE - 'B'

Data for every month ending - 31st October 2024

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from						
	Investors						
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges (if relevant)	NII	INII	INII	INII	NII	NII
4	Other Sources (if any)						
5	Grand Total						

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year -

Sr No	Month	Carried forward from previous Month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#		
1	April 2024		•	Nil			
2	May 2024			Nil			
3	June 2024			Nil			
4	July 2024		Nil				
5	August 2024		Nil				
6	September 2024		•	Nil			
7	October 2024		•	Nil			
8	November 2024						
9	December 2024	7	W7111 1 1 . 4 . 1	1 1 12 1.1 .			
10	January 2025	7	will be updated as	and when applicable			
11	February 2025						
12	March 2025	7					
	Grand Total			Nil			

^{*} Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021-22		Ni	1	
2	2022-23		Ni	1	
3	2023-24	Nil			
	Grand Total		Ni	I	



$\frac{\text{INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (MUNICIPAL DEBT SECURITIES)}{\text{ANNEXURE - `B'}}$

Data for every month ending – 31st October 2024

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors						
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges (if relevant)	NII	NII	NII	NII	NII	NII
4	Other Sources (if any)						
5	Grand Total						

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year -

Sr No		from previous month	the particular	Resolved during the particular month*	Pending at the end of the particular month#
1	April 2024		N	Vil	
2	May 2024	Nil			
3	June 2024	Nil			
4	July 2024		1	Nil	
5	August 2024		N	Vil	
6	September 2024		1	Nil	
7	October 2024		1	Vil	
	Grand Total		1	Nil	

^{*} Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month

Last 3 years trend (financial year) -

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year	
1	2021-22		Ni	1		
2	2022-23		Ni	1		
3	2023-24		Nil			
	Grand Total		Ni	I		



INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (PUBLIC ISSUE OF REIT) ANNEXURE - 'B'

Data for every month ending - 31st October 2024

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from						
	Investors	2742					
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges (if relevant)						
4	Other Sources (if any)						
5	Grand Total] [

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year -

Sr No	Month	Carried forwardfrom previous month	Received duringthe particular month	Resolved duringthe particular month*	Pending at the end of the particular month#	
1	April 2024		ì	Nil		
2	May 2024		1	Nil		
3	June 2024		1	Nil		
4	July 2024		1	Nil		
5	August 2024		1	Nil		
6	September 2024		1	Nil		
7	October 2024		1	Nil		
8	November 2024					
9	December 2024		W7:11 1 1	44		
10	January 2025		Will be updated as and when applicable			
11	February 2025					
12	March 2025					
	Grand Total			=		

^{*} Inclusive of complaints of previous months resolved in the current month.

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021-2022		N	il	
2	2022-2023		N	il	
3	2023-2024		N	il	
	Grand Total		N	il	

[#]Inclusive of complaints pending as on the last day of the month



INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (PUBLIC ISSUE OF INVIT) ANNEXURE - 'B'

Data for every month ending - 31st October 2024

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from						
	Investors			NITT		2177	
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges (if relevant)						
4	Other Sources (if any)						
5	Grand Total						

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year -

Sr No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#	
1	April 2024		N	Vil		
2	May 2024		N	Vil		
3	June 2024		N	Vil		
4	July 2024		N	Vil		
5	August 2024	Nil				
6	September 2024		N	Vil		
7	October 2024		N	Vil		
8	November 2024					
9	December 2024					
10	January 2025		Will be updated as	and when applicable		
11	February 2025					
12	March 2025					
	Grand Total	Nil				

^{*} Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021-2022		Ni	1	
2	2022-2023		Ni	1	
3	2023-2024		Ni	1	
	Grand Total		Ni	il	



INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (PRIVATE PLACEMENT OF REIT) ANNEXURE - 'B'

Data for every month ending - 31st October 2024

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors						
2	SEBI (SCORES)				Nil		•
3	Stock Exchanges (if relevant)				IVII		
4	Other Sources (if any)						
5	Grand Total						

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year -

Sr No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#		
1	April 2024		1	Nil			
2	May 2024		1	Nil			
3	June 2024		1	Vil			
4	July 2024		1	Vil			
5	August 2024		1	Vil			
6	September 2024		1	Vil			
7	October 2024		1	Nil			
8	November 2024						
9	December 2024		Will be updat	ed as and when applicate	ıble		
10	January 2025						
11	February 2025	1					
12	March 2025						
	Grand Total		Nil				

^{*} Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021 - 2022		Ni	1	
2	2022 - 2023		Ni	1	
3	2023 - 2024		Ni	1	
	Grand Total		Ni	l	



$\frac{INVESTORSCOMPLAINTS\,DATA\,BY\,REGISTERED\,MERCHANT\,BANKER\,(PRIVATE\,PLACEMENTOF\,INVIT)}{ANNEXURE-'B'}$

Data for every month ending - 31st October 2024

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	complaints	Average Resolution time^ (in days)
1	Directly from Investors						
2	SEBI (SCORES)				Nil		
3	Stock Exchanges (if				INII		
	relevant)						
4	Other Sources (if any)						
5	Grand Total						

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year -

Sr No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	April 2024]	Nil	
2	May 2024]	Nil	
3	June 2024]	Nil	
4	July 2024]	Nil	
5	August 2024]	Nil	
6	September 2024]	Nil	
7	October 2024]	Nil	
8	November 2024				
9	December 2024		Will be update	ed as and when applica	ble
10	January 2025				
11	February 2025				
12	March 2025				
	Grand Total]	Nil	

^{*} Inclusive of complaints of previous months resolved in the current month.
#Inclusive of complaints pending as on the last day of the month

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021 - 2022		Ni	1	
2	2022 - 2023		Ni	1	
3	2023 - 2024		Nil		
	Grand Total		Ni	1	



INVESTORS COMPLAINTS DATA BY REGISTERED MERCHANT BANKER (CONSOLIDATED FILE)

ANNEXURE - 'B'

Data for every month ending - 31st October 2024

Sr No	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the Financial Year -

Sr. No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#	
1	June-2024	Nil				
2	July-2024	Nil	2**	Nil	2**	
3	August- 2024	2	Nil	2	Nil	
4	September 2024	Nil	Nil	Nil	Nil	
5	October 2024	Nil	Nil	Nil	Nil	

^{*} Inclusive of complaints of previous months resolved in the current month.

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	0	1	1	0
2	2023	0	3	3	0
3	2024	0	4	4	0
	Grand Total	0	8	8	0

[#]Inclusive of complaints pending as on the last day of the month.

^{**}One complaint was pertinent to IPO for which SMC Capitals was not associated as Merchant Banker.